



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,065	04/12/2001	Peter Mathias	209672000100

Alex Chartove  
Morrison & Foerster LLP  
Suite 5500  
2000 Pennsylvania Avenue, N.W.  
Washington, DC 20006-1888

CONFIRMATION NO. 1471

## FORMALITIES LETTER



\*OC000000006152991\*

Date Mailed: 06/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings contain excessive text. Suitable descriptive legends may be used, or

11/07/2001 JBALINAN 00000058 031952 09033065

01 FC:101 740.00 CH  
02 FC:102 84.00 CH  
03 FC:105 130.00 CH

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may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible ( see 37 CFR 1.84(o));

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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SECTOR  
#

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on November 6, 2001.

*Melissa Garton*  
Melissa Garton

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Peter MATHIAS et al.

Serial No.: 09/833,065

Filing Date: April 12, 2001

For: METHOD AND SYSTEM FOR  
INTERFACING CLIENTS WITH  
RELATIONSHIP MANAGEMENT (RM)  
ACCOUNTS AND FOR  
PERMISSIONING MARKETING

Examiner: Not yet assigned

Group Art Unit: 2163

**TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f) dated June 6, 2001, attached please find:

- ☒ A combined Declaration and Power of Attorney signed by the inventor(s) and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☒ Substitute Drawings (46 Sheets).
- ☒ A Petition for Extension of Time.
- ☐ A verified English translation of the application, and the \$130.00 fee as set forth in 37 C.F.R. § 1.17(k).
- ☒ Other: Copy of the Notice to File Missing Parts Formalities Letter.

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	- 20 =		x \$18.00	\$
INDEPENDENT CLAIMS	4 - 3 =	1	x \$84.00	\$ 84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS =	\$
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$
SURCHARGE FOR FILING MISSING PARTS				\$130.00
			TOTAL =	\$ 954.00

☒ Charge \$954.00 to **Deposit Account No. 03-1952** Reference: 509672000100.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952**. A duplicate copy of this transmittal is enclosed for that purpose.

Respectfully submitted,

Dated: November 6, 2001

By:

Kevin R. Spivak  
Registration No. 43,148

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